

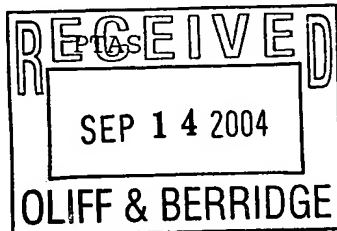
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UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

SEPTEMBER 08, 2004

OLIFF & BERRIDGE, PLC
JAMES A. OLIFF
P.O. BOX 19928
ALEXANDRIA, VA 22320



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BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).
DOCKET NUMBER: 118531

ASSIGNOR:

MATONO, NAOTO

DOC DATE: 03/05/2004

ASSIGNOR:

OTA, NORIKAZU

DOC DATE: 03/05/2004

ASSIGNEE:

TDK CORPORATION
1-13-1, NIHONBASHI, CHUO-KU
TOKYO 103-8272, JAPAN

ASSIGNEE:

SAE MAGNETICS (H.K.) LTD.
SAE TOWER 38-42 KWAI FUNG CRESCENT
KWAI CHUNG, N.T. HONG KONG, CHINA

015091/0172 PAGE 2

SERIAL NUMBER: 10798363

FILING DATE: 03/12/2004

PATENT NUMBER:

ISSUE DATE:

TITLE: THIN FILM MAGNETIC HEAD AND MAGNETIC RECORDING APPARATUS

DOROTHY RILEY, PARALEGAL
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

03/12/04

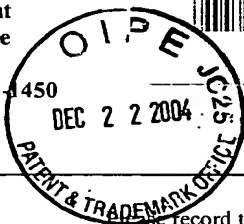
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Attorney Docket No. 118531

19270 U.S. PTO
10798363

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Please record the attached original document or copy thereof.

1. A. Name of conveying parties:

1. Naoto MATONO
2. Norikazu OTA

B. Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

2. A. Name and address of receiving parties:

TDK CORPORATION
1-13-1, NIHONBASHI, CHUO-KU
TOKYO 103-8272, JAPAN

SAE MAGNETICS (H.K.) LTD.
SAE TOWER 38-42 KWAI FUNG CRESCENT
KWAI CHUNG, N.T.
HONG KONG, CHINA

B. Additional name(s) & address(es) attached?

☐ Yes ☒ No

3. A. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

B. Execution Date: March 5, 2004- all4. A. If this document is being filed together with a new application, the execution date of the application is: March 5, 2004-all

B. Patent Application No.(s) _____

C. Patent No.(s) _____

101798363

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: James A. Oliff

Address: **OLIFF & BERRIDGE, PLC**
P.O. Box 19928
Alexandria, VA 22320

6. Total number of applications and patents involved: 17. A. Total fee (37 CFR 3.41).....\$ 40.00B. Enclosed (Check No. 152060)

8. Credit any overpayment or charge any underpayment to deposit account number 15-0461.

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document

James A. Oliff Registration No. 27,075
John S. Kern Registration No. 42,719

Date: March 12, 2004

03/18/2004 BYRHE 00000090 10798363

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Total number of pages including cover sheet, attachments, and document: 4

Assignment

譲渡証

Japanese Language Assignment

7 Df03-010 VS
99005481
4.105

(1-8) Insert Name(s) of Inventor(s)
発明者の氏名を記入すること

(1) Naoto MATONO

(2) Norikazu OTA

(3)

(4)

(5)

(6)

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譲受人氏名を記入すること

(9A) TDK Corporation

(9B) Insert Name of Assignee
譲受人氏名を記入すること

(9B) SAE Magnetics (H.K.) Ltd.

(10A) Insert Address of Assignee
譲受人住所を記入すること

(10A) 1-13-1, Nihonbashi, Chuo-ku, Tokyo, 103-8272, Japan

(10B) Insert Address of Assignee
譲受人住所を記入すること

(10B) SAE Tower 38-42 Kwai Fung Crescent Kwai Chung, N.T., Hong Kong, China

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(11) Insert Identification such as Title, Case Number, or Foreign Application Number

名称、事件番号、もしくは外国出願番号のような確認事項を記入のこと

(11) THIN FILM MAGNETIC HEAD AND MAGNETIC RECORDING APPARATUS

(Attorney Docket No.

弁護士整理番号

前記署名人が下記の日付でアメリカ合衆国において特許出願を履行するものであり、

or

もしくは

(12) Insert Date of Signing of Application

出願に署名する日付を記入のこと

(12) on March 5, 2004

(13) Alternative Identification for filed applications

提出された出願のための代案確認事項

(13) U.S. application Serial Number

右記の米国出願整理番号で

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for which the undersigned has (have) executed an application for patent in the United States of America on even date herewith

1) Each undersigned agrees to execute all papers necessary in connection with any application and any continuing, divisional or reissue applications for the invention, and any patent(s) issuing thereon, and also to execute separate assignments in connection with such applications and patents as the Assignee may deem necessary.

2) Each undersigned agrees to execute all papers necessary in connection with any interference which may be declared concerning any application or continuation or division thereof, or any patent or reissue application based thereon, for the invention, and to cooperate with the Assignee in every way possible in obtaining evidence and going forward with such interference.

3) Each undersigned agrees to execute all papers and documents and perform any act which may be necessary in connection with claims or provisions of the International Convention for Protection of Industrial Property or similar agreements.

4) Each undersigned agrees to perform all affirmative acts which may be necessary to obtain, maintain or confirm by reissue or reexamination a grant of a valid United States patent to the Assignee.


5) Each undersigned authorizes and requests the Commissioner of Patents to issue any and all Letters Patents of the United States resulting from said application(s) to the said Assignee, as Assignee of the entire interest, and covenants that he has full right to convey the entire interest herein assigned, and that he has not executed, and will not execute, any agreements in conflict herewith, and agrees that this assignment is binding on him and his heirs, successors, assigns and legal representatives.

6) Each undersigned hereby grants the firm of Oliff & Berridge the power to insert on this assignment any further identification that may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

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この署名が履行される。

In witness whereof, executed by the undersigned on
the date(s) opposite the undersigned name(s).

Date 日付	<u>March 5, 2004</u>	Inventor Signature 発明者署名	<u></u>	(SEAL 印)
Date 日付	<u>March 5, 2004</u>	Inventor Signature 発明者署名	<u>Norikazu Ota</u>	(SEAL 印)
Date 日付	_____	Inventor Signature 発明者署名	_____	(SEAL 印)
Date 日付	_____	Inventor Signature 発明者署名	_____	(SEAL 印)
Date 日付	_____	Inventor Signature 発明者署名	_____	(SEAL 印)
Date 日付	_____	Inventor Signature 発明者署名	_____	(SEAL 印)

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(a) a Notary Public if within the U.S.A. (b) a U.S. Consul if
outside the U.S.A. If neither, then it should be signed before
at least two witnesses who also sign here:

Date 日付	<u>March 5, 2004</u>	Witness 証人
Date 日付	<u>March 5, 2004</u>	Witness 証人

